SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Add <u>Byrd Richa</u>		g Person *	2. Date of Event F Statement (Month 09/06/2022	· • •						
(Last) (First) (Middle)			-	ĺ	4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting		
BECTON, DICKINSON AND COMPANY 1 BECTON DRIVE			_		Director X Officer (give title below) EVP & President Inte	10% Owner Other (specify below) terventional				
(Street) FRANKLIN LAKES	NJ	07417						Person		
(City)	(State)	(Zip)								
			Table I - No	on-Deriva	tive Securities Beneficially	Owned				
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4) Source (D) or Indirect (I) (Instr. 5)		D) or 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock					1,724 <sup>(1)</sup>	D				
					re Securities Beneficially Ov ants, options, convertible so					
1. Title of Derivative Security (Instr. 4)			2. Date Exer Expiration I (Month/Day	Date	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversio or Exercise	5. Ownership Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)		
Stock Appreciation Rights			11/26/2018 <sup>(2)</sup>	11/26/2027	Common Stock	9,058	222.6	D		
Stock Appreciation Rights			11/26/2019 <sup>(2)</sup>	11/26/2028	Common Stock	6,003	238.16	D		
Stock Appreciation Rights			11/26/2020 <sup>(2)</sup>	11/26/2029	Common Stock	8,365	251.06	D		
Stock Appreciation Rights			11/26/2021 <sup>(2)</sup>	11/26/2030	Common Stock	11,865	223.77	D		
Stock Apprciation Rights			11/26/2022 <sup>(2)</sup>	11/26/2031	Common Stock	7,966	241.1	D		

## Explanation of Responses:

1. Includes restricted stock units awarded under the Becton Dickinson and Company 2004 Employer and Director Equity-Based Compensation Plan.

2. The stock appreciation rights vest in four annual installments beginning one year from the date of grant.

Donna Kalazdy, by power of attorney for Richard Byrd	<u>09/14/2022</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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