SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * DelOrefice Christopher				Name <b>and</b> Ticker o					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) C/O BECTON, DICKINSON AND COMPANY			3. Date of 11/26/2	f Earliest Transaction 022	on (Mont	h/Day	/Year)	x	Officer (give title below)	Other (specify below) Financial Officer				
1 BECTON DRIVE				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) FRANKLIN NJ 07417 LAKES								X	Form filed by One I Form filed by More		ng Person			
(City)	(State)	(Zip)												
		Table I - Nor	n-Derivative S	Securities Acq	uired,	Disp	osed of, or	Benefi	cially Ow	vned				
Date			2. Transaction Date (Month/Day/Year)	Execution Date,		tion str.	4. Securities A Disposed Of (			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
						v	Amount	(A) or (D)	Price	<ul> <li>Transaction(s) (Instr. 3 and 4)</li> </ul>		(Instr. 4)		
Common Stock			11/26/2022		Α		3,087(1)	A	\$0.0	12,222	D			
Common Stock 11/2			11/26/2022		F		269(2)	D	\$0.0	11,953	D			
				curities Acqui Ils, warrants, c		•			•	ed				

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Appreciation Rights	\$227.8	11/26/2022		A		18,658		11/26/2023 <sup>(3)</sup>	11/26/2032	Common Stock	18,658	\$0.0	18,658	D	

Explanation of Responses:

1. Represents restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.

2. Represents shares withheld for payment of withholding taxes in connection with the vesting of previously reported restricted stock unit awards.

3. The stock appreciation rights vest in four annual installments beginning November 26, 2023.

Donna Kalazdy, by power of	
attorney for Christopher	11

11/29/2022

\*\* Signature of Reporting Person

**DelOreifice** 

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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