SEC Form 3

FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1	ress of Reporting I	Person *	2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol RECTON DICKINSON & CO [ RDY ]				
(Last) C/O BECTON	Aercurio Joseph ast) (First) (Middle) /O BECTON, DICKINSON AND COMPANY BECTON DRIVE reet) RANKLIN AKES NJ 07417		01/28/2014		BECTON DICKINSON & CO [ BD 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (spec below) below) VP and Corporate Controller tive Securities Beneficially Owned		5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check		
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct ( Indirect (I) (Ins	D) or 5)	ure of Indirect B	eneficial Ownership (Instr.
Common Stock					1,614(1)	D			
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiratio Date	Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)	
Rights to Common Stock under Deferred Compensation Plan			(2)	(2)	Common Stock	805	(3)	D	
Employee Stock Option (right to buy)			11/23/2005 <sup>(4)</sup>	11/23/201	14 Common Stock	3,820	54.41	D	
Stock Appreciation Rights			11/21/2006 <sup>(5)</sup>	11/21/201	15 Common Stock	3,520	59.16	D	
Stock Appreciation Rights			11/21/2007 <sup>(6)</sup>	11/21/201	16 Common Stock	2,947	71.72	D	
Stock Appreciation Rights			11/20/2008 <sup>(7)</sup>	11/20/201	17 Common Stock	2,958	84.33	D	
Stock Appreciation Rights			11/25/2009 <sup>(8)</sup>	11/25/201	18 Common Stock	3,892	62.5	D	
Stock Appreciation Rights			11/24/2010 <sup>(9)</sup>	11/24/201	19 Common Stock	4,790	75.63	D	
Stock Appreciation Rights			11/23/2011 <sup>(10)</sup>	11/23/202	20 Common Stock	5,153	76.64	D	
Stock Appreciation Rights			11/22/2012 <sup>(11)</sup>	11/22/202	21 Common Stock	4,583	72.12	D	
Stock Appreciation Rights			11/20/2013 <sup>(12)</sup>	11/20/202	22 Common Stock	6,586	76.18	D	
Stock Appreciation Rights			11/26/2014 <sup>(13)</sup>	11/26/202	23 Common Stock	4,007	108.89	D	

#### Explanation of Responses:

1. Includes restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.

2. The securities are generally distributed upon termination, or following retirement on the date or dates specified by the reporting person.

3. The securities convert to common stock on a one-for-one basis.

- 4. The employee stock options vest in four annual installments beginning November 23, 2005.
- 5. The stock appreciation rights vest in four annual installments beginning November 21, 2006.

6. The stock appreciation rights vest in four annual installments beginning November 21, 2007.

- 7. The stock appreciation rights vest in four annual installments beginning November 20, 2008.
- 8. The stock appreciation rights vest in four annual installments beginning November 25, 2009.
- 9. The stock appreciation rights vest in four annual installments beginning November 24, 2010. 10. The stock appreciation rights vest in four annual installments beginning November 23, 2011.

11. The stock appreciation rights vest in four annual installments beginning November 22, 2012.

12. The stock appreciation rights vest in four annual installments beginning November 20, 2013.

13. The stock appreciation rights vest in four annual installments beginning November 26, 2014.

#### Remarks:

### Richard Stout, by power of attorney for Joseph Mercurio

\*\* Signature of Reporting Person

02/04/2014

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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