SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 r Section 30(h) of the I av Act of 1940 ant Com

1. Name and Add Polen Thom		g Person [*]	2. Date of Event Requ Statement (Month/Day 10/01/2014	× 1						
(Last) (First) (Middle)			10/01/2014		4. Relationship of Reporting Person((Check all applicable)	s) to Issuer		5. If Amendment, Date of Original Filed (Month/Day/Year)		
. ,	I, DICKINSO	N AND COMPANY			Director X Officer (give title below)	10% Owner Other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) FRANKLIN NJ 07417 LAKES					Segment President,	, Medical		Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
			Table I - Non-I	Derivat	tive Securities Beneficially	Owned				
1. Title of Security (Instr. 4)					Amount of Securities eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					5,553 ⁽¹⁾	D				
					e Securities Beneficially O ants, options, convertible s					
1. Title of Derivative Security (Instr. 4)			2. Date Exercisa Expiration Date (Month/Day/Yea		3. Title and Amount of Securities Derivative Security (Instr. 4)	s Underlying	4. Conversi or Exerci	se (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
				xpiration ate	Title	Amount or Number of Shares	Price of Derivativ Security	Indirect (I) e (Instr. 5)		
Stock Appreciation Rights			11/23/2011 ⁽²⁾	1/23/2020	Common Stock	2,670	76.64	D		
Stock Appreciation Rights			11/22/2012 ⁽³⁾	1/22/2021	Common Stock	5,958	72.12	D		
Stock Appreciation Rights			11/20/2013 ⁽⁴⁾	1/20/2022	Common Stock	10,950	76.18	D		
Stock Appreciation Rights			11/26/2014 ⁽⁵⁾	1/26/2023	Common Stock	11,094	108.89	D		

Explanation of Responses:

1. Includes restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.

2. The stock appreciation rights vest in four annual installments beginning November 23, 2011.

3. The stock appreciation rights vest in four annual installments beginning November 22, 2012.

4. The stock appreciation rights vest in four annual installments beginning November 20, 2013.

5. The stock appreciation rights vest in four annual installments beginning November 26, 2014.

Remarks:

Richard Stout, by power of 10/10/2014 attorney for Thomas E. Polen, Jr.

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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