SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres		erson*		Name and Ticker of ON DICKIN	• •		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) C/O BECTON, I	Last) (First) (Middle) C/O BECTON, DICKINSON AND COMPANY		3. Date o 11/25/2	f Earliest Transactio 014	on (Month/Day/	Year)	x	Officer (give title Othe below) below Senior Vice President		· (specify /)		
1 BECTON DRIVE				ndment, Date of Or	iginal Filed (Mo	onth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) FRANKLIN LAKES	NJ	07417						Form filed by More		ng Person		
(City)	(State)	(Zip)										
		Table I - No	n-Derivative S	ecurities Acq	uired, Disp	osed of, or Beneficia	lly Ow	ned				
1. Title of Security (Instr. 3) 2. Tran Date (Month				2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial		

			(Month/Day/Year)	8)						(Instr. 4)	Ownership (Instr. 4)
				Code	v		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
	Common Stock	11/25/2014		Α		1,186(1)	Α	\$ <mark>0</mark>	14,200	D	
- 1											

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(•.9	., p,		•,	 ,	••••••••	•••••••••••••••••••••••••••••••••••••••		-,				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		Derivative Expiration		6. Date Exerci Expiration Da (Month/Day/Yo	e Securities Underlying		derlying curity	8. Price of Derivative Security (Instr. 5)	Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Appreciation Rights	\$134.73	11/25/2014		A		12,370		11/25/2015 ⁽²⁾	11/25/2024	Common Stock	12,370	\$0	12,370	D	

Explanation of Responses:

1. Represents restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.

2. The stock appreciation rights vest in four annual installments beginning November 25, 2015.

Remarks:

Richard Stout, by power of attorney for Stephen Sichak ** Signature of Reporting Person

11/26/2014

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5