SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| - | | | | | | | - | | | | |
|---------------------------------------|------------------------|------------------------|--|---|---|--|---|--|---|---|--|
| 1. Name and Address Strahlman Elle | | rson [*] | | Name and Ticker of ON DICKIN | • • | | | ionship of Reporting F all applicable) Director | Person(s) to Issue 10% O | | |
| (Last) C/O BECTON, E | (First) DICKINSON A | (Middle) ND COMPANY | 3. Date o 11/26/20 | f Earliest Transactio 015 | on (Month/Day/ | Year) | x | Officer (give title below) | | specify | |
| 1 BECTON DRIVE | | | | ndment, Date of Or | iginal Filed (Mc | nth/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | |
| (Street) | | | | | | | X | Form filed by One F Form filed by More | | na Person | |
| FRANKLIN LAKES | NJ | 07417 | | | | | | | | | |
| (City) | (State) | (Zip) | | | | | | | | | |
| | | Table I - No | n-Derivative S | ecurities Acq | uired, Disp | osed of, or Beneficia | ally Ow | ned | | | |
| 1. Title of Security (| Instr. 3) | | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |

| | | (wonth/Day/rear) | 0) | | | | | Transaction(a) | (instr. 4) | (metr 4) |
|--------------|----------------|------------------|---------|------|--------------|---------------|-------------------|------------------------------------|------------|------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 11/26/2015 | | Α | | 2,129(1) | A | \$ <mark>0</mark> | 12,591 | D | |
| Table II - | Derivative Sec | curities Acqui | red, Di | spos | ed of, or Be | eneficia | lly Owne | ed | | |

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | ercise (Month/Day/Year) if any Co of (Month/Day/Year) 8) ative | | Code (Ir | Transaction Code (Instr. 8) | | er of ve es d (A) osed nstr. 3, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | Reported | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|--|----------|-----------------------------------|--------|--|--|--------------------|--|-------------------------------------|---|------------------------------|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |
| Stock Appreciation Rights | \$150.12 | 11/26/2015 | | A | | 27,523 | | 11/26/2016 ⁽²⁾ | 11/26/2025 | Common Stock | 27,523 | \$0 | 27,523 | D | |

Explanation of Responses:

1. Represents restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.

2. The stock appreciation rights vest in four annual installments beginning November 26, 2016.

Remarks:

Richard Stout, by power of attorney for Ellen R Strahlman ** Signature of Reporting Person

11/30/2015

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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