SEC Form 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person* Mas Ribo Alberto	2. Date of Event Requi Statement (Month/Day 10/01/2016	iiring						
(Last) (First) (Middle)	—		4. Relationship of Reporting Person(s (Check all applicable)	10% Owner Other (specify below)		5. If Amendment, Date of Original Filed (Month/Day/Year)		
C/O BECTON, DICKINSON AND COMPAN 1 BECTON DRIVE	Y		Director X Officer (give title below) EVP and Pres, Life			6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) FRANKLIN NJ 07417 LAKES			E vi and ries, Lite			Form filed by More than One Reporting Person		
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			Amount of Securities eneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		eneficial Ownership (Instr.		
Common Stock			15,778(1)	D				
Common Stock			2,013	I	GS	GSIP Trust <sup>(2)</sup>		
Common Stock			1,043	I	SIP Trust <sup>(3)</sup>			
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisa Expiration Date (Month/Day/Yea		3. Title and Amount of Securities Derivative Security (Instr. 4)	Underlying	4. Conversio or Exercis	e (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		xpiration ate	Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)		
Stock Appreciation Rights	11/20/2013 <sup>(4)</sup> 11	1/20/2022	Common Stock	12,003	76.18	D		
Stock Appreciation Rights	11/26/2014 <sup>(5)</sup> 11	1/26/2023	Common Stock	8,347	108.89	D		
Stock Appreciation Rights	11/25/2015 <sup>(6)</sup> 11	1/25/2024	Common Stock	12,153	134.73	D		
Stock Appreciation Rights	11/26/2016 <sup>(7)</sup> 11	1/26/2025	Common Stock	14,312	150.12	D		

Explanation of Responses:

1. Includes restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.

2. Represents shares of common stock held under the Becton, Dickinson and Company Global Share Investment Program (the "GSIP"). The information presented for the GSIP is as of September 30, 2016.

3. Represents shares of common stock held under the Becton, Dickinson and Company Savings Incentive Plan (the "SIP"). The information presented for the SIP is as of September 30, 2016.

4. The stock appreciation rights vest in four annual installments beginning November 20, 2013.

5. The stock appreciation rights vest in four annual installments beginning November 26, 2014.

6. The stock appreciation rights vest in four annual installments beginning November 25, 2015.

7. The stock appreciation rights vest in four annual installments beginning November 26, 2016.

## **Remarks:**

Richard Stout, by power of attorney for Alberto Mas Ribo.

\*\* Signature of Reporting Person

10/07/2016

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.