SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

SION	OMB APPRO\	/AL
	OMB Number: Estimated average burden	3235-0287
HIP	hours per response:	0.5

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1	and a second processing a			2. Issuer Name and Ticker or Trading Symbol BECTON DICKINSON & CO [BDX]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
FORLENZ	A VINCI	<u>ENT A</u>			DEC		JUCKI	NSOIN	αι]		Director	-,		10% Ov	vner	
(Last) (First) (Middle) C/O BECTON, DICKINSON AND COMPANY				3. Date of Earliest Transaction (Month/Day/Year) 11/13/2018							Officer (g below) Chair		Other (specify below)					
1 BECTON I	RIVE				4 If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) FRANKLIN LAKES	N NJ 07417		g Person															
(City)	(State) (Z	ľip)		-													
		Т	able I - No	on-De	rivative	Securi	ties Ac	quired,	, Dis	posed of,	, or Benef	cially Ov	ned					
1. Title of Secur	Date		Date			Execution Date,			es Acquired (A) or Dispos r. 3, 4 and 5)		d 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
								Code	v	Amount	(A) or (D)	Price	(Instr. 3 an				(Instr. 4)	
Common Stock 1		11/1	11/13/2018			М		24,742	24,742 A		233,625			D				
Common Stock		11/13/2018				D		7,876	D \$237.61		225,749			D				
Common Stock		11/13/2018				S		16,866	D	\$237.61 ⁽¹⁾	¹⁾ 208,883			D				
Common Stock											10,1	10,108		Ι	By GRAT			
Common Stock											10,5)8 ⁽²⁾		I	SIP Trust			
			Table II -								r Benefici e securitie		ed					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	oate,	4. Transactior Code (Instr. 8)	Deriv Secu Acqu or Dis	rities ired (A) sposed) (Instr. 3,	6. Date Expirati (Month/	on Da		7. Title and A Securities U Derivative Se (Instr. 3 and	nderlying ecurity 4)	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti	e (s F Illy [g (l	10. Ownership Form: Direct (D) or Indirect [I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
1					1		1					Amount		(Instr. 4)				

Rights
Explanation of Responses:

\$75.63

1. The reported sale price reflects the weighted average sale price for multiple transactions. The actual sales prices for the transactions ranged from \$235.72 through \$238.82. Full information regarding the number of shares

24,742

Date

Exercisable

11/24/2010(3)

Expiration

11/24/2019

Title

Common

Stock

Date

purchased at each separate price will be provided to the Securities and Exchange Commission, the issuer or a security holder of the issuer upon request.

Code V (A) (D)

Μ

2. Represents shares of common stock held under the Becton, Dickinson and Company Savings Incentive Plan (the "SIP"). The information presented for the SIP is as of July 1, 2018.

3. The stock appreciation rights vest in four annual installments beginning November 24, 2010.

11/13/2018

Remarks:

Stock

Appreciation

Richard Sto	out, by power of
attorney for	Vincent A. Forlenza
** Signature of	of Reporting Person

Number

of Shares

24,742

\$<mark>0</mark>

11/14/2018

0

D

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.