SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Conroy Ale	ress of Reporting Po xandre		2. Date of Event Requiring Statement (Month/Day/Year) 02/01/2019		3. Issuer Name and Ticker or Trading Symbol BECTON DICKINSON & CO [BDX]					
(Last)	(First)	(Middle)			4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)		
	I, DICKINSON A	AND COMPANY			Director X Officer (give title below) EVD of Integrated Su	10% Owner Other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) FRANKLIN NJ 07417 LAKES					EVP of Integrated Supply Chain			Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (Indirect (I) (Ins	Direct (D) or 5)			
Common Stock					26,364 ⁽¹⁾	D				
Common Stock					2,409	Ι	GSIP Trust ⁽²⁾			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable ar Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Derivative Security (Instr. 4)	Underlying	4. Conversion or Exercise	(D) or		
			Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)		
Stock Appreciation Rights			11/25/2015 ⁽³⁾	11/25/2024	Common Stock	12,787	134.73	D		
Stock Appreciation Rights			11/26/2016 ⁽⁴⁾	11/26/2025	5 Common Stock	24,083	150.12	D		
Stock Appreciation Rights			11/26/2017 ⁽⁵⁾	11/26/2026	5 Common Stock	18,706	170.69	D		
Stock Appreciation Rights			11/26/2018 ⁽⁶⁾	11/26/2027	7 Common Stock	15,079	226.28	D		
Stock Appreciation Rights			11/26/2019 ⁽⁷⁾	11/26/2028	3 Common Stock	12,990	242.1	D		

Explanation of Responses:

1. Includes 3,112 restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.

2. Represents shares of common stock held under the Becton, Dickinson and Company Global Share Investment Program (the "GSIP"). The information presented for the GSIP is as of January 29, 2019.

3. The stock appreciation rights vest in four annual installments beginning November 25, 2015.

4. The stock appreciation rights vest in four annual installments beginning November 26, 2016.

5. The stock appreciation rights vest in four annual installments beginning November 26, 2017.

6. The stock appreciation rights vest in four annual installments beginning November 26, 2018.

7. The stock appreciation rights vest in four annual installments beginning November 26, 2019.

Remarks:

Richard Stout, by power of attorney for Alexandre Conroy

** Signature of Reporting Person

02/08/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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