SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *			2. Issuer Name and Ticker or Trading Symbol <u>BECTON DICKINSON & CO</u> [BDX]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) (First) (Middle) C/O BECTON, DICKINSON AND COMPANY			3. Date of Earliest Transaction (Month/Day/Year) 08/09/2019	x	Officer (give title below) Executive Vice	Other (specify below)			
1 BECTON DR	IVE		4. If Amendment, Date of Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) FRANKLIN LAKES	NJ	07417		X	Form filed by One Rep Form filed by More tha	orting Person n One Reporting Person			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	08/09/2019		М		2,855	A	\$76.64	20,048	D	
Common Stock	08/09/2019		D		864	D	\$253.31(1)	19,184	D	
Common Stock	08/09/2019		S		1,991	D	\$253.31(1)	17,193	D	
Common Stock	08/09/2019		М		8,402	A	\$72.12	25,595	D	
Common Stock	08/09/2019		D		2,393	D	\$253.31(1)	23,202	D	
Common Stock	08/09/2019		S		6,009	D	\$253.31(1)	17,193	D	
Common Stock	08/09/2019		М		22,709	A	\$76.18	39,902	D	
Common Stock	08/09/2019		D		6,830	D	\$253.31(1)	33,072	D	
Common Stock	08/09/2019		S		15,879	D	\$253.31(1)	17,193	D	
Common Stock								3,904 ⁽²⁾	Ι	GSIP Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3) 2. 3. Transaction Date 3A. Deemed Execution Date, (Month/Day/Year) 3. Deemed Date 1. Transaction Date 3A. Deemed Execution Date, (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Appreciation Rights	\$76.64	08/09/2019		М			2,855	11/23/2011 ⁽³⁾	11/23/2020	Common Stock	2,855	\$0	0	D	
Stock Appreciation Rights	\$72.12	08/09/2019		М			8,402	11/22/2012 ⁽⁴⁾	11/22/2021	Common Stock	8,402	\$0	0	D	
Stock Appreciation Rights	\$76.18	08/09/2019		М			22,709	11/20/2013 ⁽⁵⁾	11/20/2022	Common Stock	22,709	\$0	0	D	

Explanation of Responses:

1. The reported sale price reflects the weighted average sale price for multiple transactions. The actual sales prices for the transactions ranged from \$252.32 through \$254.59. Full information regarding the number of shares purchased at each separate price will be provided to the Securities and Exchange Commission, the issuer or a security holder of the issuer upon request.

2. Represents shares of common stock held under the Becton, Dickinson and Company Global Share Investment Program (the "GSIP"). The information presented for the GSIP is as of June 1, 2019.

3. The stock appreciation rights vest in four annual installments beginning November 23, 2011.

4. The stock appreciation rights vest in four annual installments beginning November 22, 2012.

5. The stock appreciation rights vest in four annual installments beginning November 20, 2013.

Remarks:

Richard Stout, by power of attorney for James Lim

08/12/2019

Date

OMB APPROVAL

3235-0287

0.5

OMB Number:

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** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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