SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre Bodner Char		rson*		er Name and Ticker TON DICKIN				ionship of Reporting F all applicable) Director	Person(s) to Issue			
(Last) (First) (Middle) C/O BECTON, DICKINSON AND COMPANY			3. Date 09/06/	of Earliest Transac 2019	tion (Month/Day	/Year)	x	Officer (give title below) SVP Corp Fin (Other below)	(specify		
1 BECTON DR	IVE		4. If Am	endment, Date of C	Driginal Filed (M	onth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street)								Form filed by More than One Reporting Pe				
FRANKLIN LAKES	NJ	07417								ig roisen		
(City)	(State)	(Zip)										
		Table I - No	on-Derivative	Securities Aco	quired, Dis	oosed of, or Beneficia	ally Ow	ned				
1. Title of Security	1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed				3.	4. Securities Acquired (A) or	Disposed	5. Amount of	6. Ownership	7. Nature of		

1. Title of Security (Instr. 3)	2. Transaction 2A. Deemed 3. 4. Securities Acquired (A) or Dis Date Execution Date, Transaction (Month/Day/Year) if any Code (Instr. 4) (Month/Day/Year) 8)		.) or Disposed	Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	09/06/2019		М		3,143	A	\$134.73	5,180	D	
Common Stock	09/06/2019		D		1,629	D	\$260.08(1)	3,551	D	
Common Stock	09/06/2019		S		1,514	D	\$260.08(1)	2,037	D	
Common Stock								67	I	By wife

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(e.g.	, puis,	Calls	s, wai	rants,	options, c	onvertible	e securities	5)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)		Deriva Securi	ities red (A) posed (Instr.	6. Date Exercisable and Expiration Date (Month/Day/Year)		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Appreciation Rights	\$134.73	09/06/2019		М			3,143	11/25/2015 ⁽²⁾	11/25/2024	Common Stock	3,143	\$0	0	D	

Explanation of Responses:

1. The reported sale price reflects the weighted average sale price for multiple transactions. The actual sales prices for the transactions ranged from \$260.00 through \$260.46. Full information regarding the number of shares purchased at each separate price will be provided to the Securities and Exchange Commission, the issuer or a security holder of the issuer upon request. This transaction was made pursuant to a 10b5-1 plan entered into on November 29, 2018.

2. The stock appreciation rights vest in four annual installments beginning November 25, 2015.

Remarks:

Richard Stout, by power of
attorney for Charles R. Bodner
** Signature of Reporting Person

09/09/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.