SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

1. Name and Address				Name and Ticker of ON DICKIN	0,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
FORLENZA VINCENT A (Last) (First) (Middle)				f Earliest Transacti			x x	Director Officer (give title below)	10% O Other (below)	(specify			
C/O BECTON, DICKINSON AND COMPANY							Chairman, CEO and President						
1 BECTON DRIVE				ndment, Date of Or	iginal Filed (Mo	nth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person						
(Street)								Form filed by More					
FRANKLIN LAKES	NJ	07417											
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Security (Instr. 3) 2. Tran			2. Transaction	2A. Deemed	3.	4. Securities Acquired (A) or	urities Acquired (A) or 5. Amount of 6. Ownership			7. Nature of			

1. Title of Security (Instr. 3)			Date	ansaction hth/Day/Year	Execution Date, if any (Month/Day/Year)	5. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)				Securities Beneficially Owned Following Reported Transaction(s)		Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership	
								v	Amount	(A) (D)	A) or D) Price		(Instr. 3 and 4)			(Instr. 4)
Common Stock		10)/21/2019			G	v	15,59	0	D	\$ <mark>0</mark>	205,498		D		
Common Stock			11	/26/2019			A		16,742	(1)	A	\$0 222		222,240		
Common Stock	mmon Stock		11	/26/2019			F		13,190	(2)	D	\$ <mark>0</mark>	209,	050	D	
Common Stock												26,064		Ι	By GRAT ⁽³⁾	
Common Stock												10,645(4)		Ι	SIP Trust	
		,	Table II - Deri (e.g.		curities A								ed			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Inst 8)	r. Securit Acquire	ive ies ed (A) osed of	Expirat (Month	ion Da		Securities Under		erlying	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	ly Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
												Amount or		Transactic (Instr. 4)	on(s)	

Explanation of Responses:

1. Represents shares vesting under performance units granted on November 26, 2016.

2. Represents shares withheld for payment of withhelding taxes in connection with vesting of the performance units referred to in footnote (1) and the vesting of restricted stock units as previously reported on Table 1.

(D)

Date

Exercisable

Expiration Date

Title

3. Includes 15,590 shares that were previously reported as directly owned but were contributed to a grantor retained annuity trust on October 21, 2019.

4. Represents shares of common stock held under the Becton, Dickinson and Company Savings Incentive Plan (the "SIP"). The information presented for the SIP is as of July 1, 2019.

Code V

(A)

Remarks:

Richard Stout, by power of
attorney for Vincent A. Forlenza
** Signature of Reporting Person

Number of Shares

> <u>11/27/2019</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.