SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

may continu	ue. See Instruction 1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940			
1. Name and A Mas Ribo	Address of Reporting Pe	rson*	2. Issuer Name and Ticker or Trading Symbol BECTON DICKINSON & CO [ BDX ]		tionship of Reporting Pers all applicable) Director	10% Owner
(Last) C/O BECTO	(First) ON, DICKINSON A	(Middle) ND COMPANY	3. Date of Earliest Transaction (Month/Day/Year)     11/26/2019	X	Officer (give title below) EVP and Pres, L	Other (specify below) Life Sciences
1 BECTON	DRIVE		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	idual or Joint/Group Filing Form filed by One Rep	g (Check Applicable Line) porting Person

(Street) FRANKLIN LAKES	NJ	07417
(City)	(State)	(Zip)

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		•		•			-			
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (li 8)		4. Securities A Disposed Of (I			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/26/2019		Α		1,507(1)	A	\$ <mark>0</mark>	16,989	D	
Common Stock	11/26/2019		F		1,403(2)	D	\$ <mark>0</mark>	15,586	D	
Common Stock								2,554 <sup>(3)</sup>	I	GSIP Trust
Common Stock								1,055(4)	I	SIP Trust

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned nute calle war 10 0 antian

			(e.g	., puts,	, call	s, warr	ants,	options, c	onvertible	e securities	5)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)		5. Numb Derivativ Securitie Acquire or Dispo of (D) (Ir 4 and 5)	ve es d (A) osed nstr. 3,	6. Date Exerci Expiration Da (Month/Day/Yo	te	7. Title and Ar Securities Un Derivative See (Instr. 3 and 4	derlying curity	8. Price of Derivative Security (Instr. 5)	Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Appreciation Rights	\$255.22	11/26/2019		A		19,361		11/26/2020 <sup>(5)</sup>	11/26/2029	Common Stock	19,361	\$0	19,361	D	

Explanation of Responses:

1. Represents shares vesting under performance units granted on November 26, 2016.

2. Represents shares withheld for payment of withhelding taxes in connection with (A) vesting of the performance units referred to in footnote (1) and (B) the vesting of restricted stock units as previously reported on Table I.

3. Represents shares of common stock held under the Becton, Dickinson and Company Global Share Investment Program (the "GSIP"). The information presented for the GSIP is as of May 31, 2017.

4. Represents shares of common stock held under the Becton, Dickinson and Company Savings Incentive Plan (the "SIP"). The information presented for the SIP is as of July 31, 2017.

5. The stock appreciation rights vest in four annual installments beginning November 26, 2020.

Remarks:

Richard	Stout, by power of
attorney	for Alberto Mas Ribo.
** Signatu	re of Reporting Person

11/27/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Form filed by More than One Reporting Person