SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

		Table I - Non-I	Derivative Securities Acquired, Disposed of, or Benefi	icially Ow	ned					
(City)	(State)	(Zip)								
Street) FRANKLIN NJ 07417 LAKES				X	Form filed by One Reporting Person Form filed by More than One Reporting Pers					
1 BECTON DRI	IVE		4. If Amendment, Date of Original Filed (Month/Day/Year)	(Check Applicable Line)						
C/O BECTON, I	DICKINSON A	AND COMPANY			EVP of Integrated Supply Chain					
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/26/2019	x	Officer (give title below)	Other (specify below)				
. Name and Addres		irson*	2. Issuer Name and Ticker or Trading Symbol <u>BECTON DICKINSON & CO</u> [BDX]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
			or Section 30(n) of the investment Company Act of 1940							

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date,		tion ıstr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Code V Amount		Amount	(A) or (D)	Price	· Transaction(s) (Instr. 3 and 4)				
Common Stock	11/26/2019		A		2,791(1)	A	\$ <mark>0</mark>	19,280	D	
Common Stock	11/26/2019		F		2,165(2)	D	\$ <mark>0</mark>	17,115	D	
Common Stock								2,409 ⁽³⁾	I	GSIP Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			
Stock Appreciation Rights	\$255.22	11/26/2019		A		12,627		11/26/2020 ⁽⁴⁾	11/26/2029	Common Stock	12,627	\$0	12,627	D		

Explanation of Responses:

1. Represents shares vesting under performance units granted on November 26, 2016.

2. Represents shares withheld for payment of withhelding taxes in connection with (A) vesting of the performance units referred to in footnote (1) and (B) the vesting of restricted stock units as previously reported on Table I.

3. Represents shares of common stock held under the Becton, Dickinson and Company Global Share Investment Program (the "GSIP"). The information presented for the GSIP is as of February 8, 2019.

4. The stock appreciation rights vest in four annual installments beginning November 26, 2020.

Remarks:

Richard Stout, by power of
attorney for Alexandre Conroy
** Signature of Reporting Person

11/27/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

