SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	(Glate)		Derivative Securities Acquired, Disposed of, or Bene	ficially Ow					
(City)	(State)	(Zip)							
FRANKLIN LAKES	NJ	07417							
1 BECTON DR			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi X	Form filed by One Rep	g (Check Applicable Line) porting Person In One Reporting Person			
C/O BECTON, DICKINSON AND COMPANY					EVP and Pres, Life Sciences				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/16/2019	x	Officer (give title below)	Other (specify below)			
1. Name and Address of Reporting Person* <u>Mas Ribo Alberto</u>			2. Issuer Name and Ticker or Trading Symbol BECTON DICKINSON & CO [BDX]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/16/2019		М		12,153	A	\$134.73(1)	27,739	D	
Common Stock	12/16/2019		D		6,068	D	\$269.51(1)	21,671	D	
Common Stock	12/16/2019		S		11,860	D	\$269.51(1)	9,811	D	
Common Stock								2,554 ⁽²⁾	Ι	GSIP Trust
Common Stock								1,080(3)	Ι	SIP Trust

(e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 8. Price of 9. Number of 11. Nature 10. Conversion Transaction Derivative Date Execution Date Derivative Expiration Date Securities Underlving Derivative derivative Ownership of Indirect or Exercise Price of Security (Instr. (Month/Day/Year Code (Instr. Securities (Month/Day/Year) Derivative Security Securities Form: Beneficial if any Security (Month/Dav/Year) Direct (D) 3) 8) Acquired (A) (Instr. 3 and 4) (Instr. 5) Beneficially Ownership Derivative or Dispos Owned (Instr. 4) or Indirec of (D) (Instr. 3 4 and 5) Security Following (I) (Instr. 4) Reported Transaction(s) Amount (Instr. 4) Date Expiration Number Code v (A) (D) Exercisable Title of Shares Date Stock Common 11/25/2015(4) Appreciation Rights \$134.73 12/16/2019 м 12 153 11/25/2024 12,153 **\$**0 0 D Stock

Explanation of Responses:

1. The reported sale price reflects the weighted average sale price for multiple transactions. The actual sales prices for the transactions ranged from \$268.46 through \$270.03. Full information regarding the number of shares purchased at each separate price will be provided to the Securities and Exchange Commission, the issuer or a security holder of the issuer upon request. Certain of the reported transactions were made pursuant to a 10b5-1 plan entered into on December 4, 2018.

2. Represents shares of common stock held under the Becton, Dickinson and Company Global Share Investment Program (the "GSIP"). The information presented for the GSIP is as of May 31, 2019.

3. Represents shares of common stock held under the Becton, Dickinson and Company Savings Incentive Plan (the "SIP"). The information presented for the SIP is as of May 31, 2019.

4. The stock appreciation rights vest in four annual installments beginning November 25, 2015.

Remarks:

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attorne				

** Signature of Reporting Person

12/18/2019 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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