SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre			2. Issuer Name <b>and</b> Ticker or Trading Symbol BECTON DICKINSON & CO [ BDX ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) C/O BECTON,	(First) DICKINSON A	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/23/2020	X	Officer (give title below) Chairman, CEO	Other (specify below)				
1 BECTON DR (Street) FRANKLIN		07417	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi X	Form filed by One Rep	(Check Applicable Line) orting Person n One Reporting Person				
LAKES (City)	NJ (State)	07417 (Zip)								
		Table I - Non-	Derivative Securities Acquired Disposed of or Bene	ficially Ow	ned					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneticially Owned

1. Title of Security (Instr. 3)	2. Transaction	2A. Deemed	3.		4. Securities A	cauired (A	) or Disposed	5. Amount of	6. Ownership	7. Nature of
	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	01/23/2020		М		8,464	A	\$72.12	217,328	D	
Common Stock	01/23/2020		D		2,180	D	\$280.06(1)	215,148	D	
Common Stock	01/23/2020		S		6,284	D	\$280.06(1)	208,864	D	
Common Stock	01/24/2020		М		9,666	A	\$72.12	218,530	D	
Common Stock	01/24/2020		D		2,489	D	\$280.13(2)	216,041	D	
Common Stock	01/24/2020		S		7,177	D	\$280.13(2)	208,864	D	
Common Stock	01/27/2020		М		34,406	A	\$72.12	243,270	D	
Common Stock	01/27/2020		D		8,860	D	\$280.09(3)	234,410	D	
Common Stock	01/27/2020		S		25,546	D	\$280.09(3)	208,864	D	
Common Stock						1		26,064	Ι	By GRAT
Common Stock								10,645(4)	Ι	SIP Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. r) 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Appreciation Rights	\$72.12	01/23/2020		М			8,464	11/22/2012 <sup>(5)</sup>	11/22/2021	Common Stock	8,464	\$0	82,434	D	
Stock Appreciation Rights	\$72.12	01/24/2020		М			9,666	11/22/2012 <sup>(5)</sup>	11/22/2021	Common Stock	9,666	\$0	72,768	D	
Stock Appreciation Rights	\$72.12	01/27/2020		М			34,406	11/22/2012 <sup>(5)</sup>	11/22/2021	Common Stock	34,406	\$0	38,362	D	

### Explanation of Responses:

1. The reported sale price reflects the weighted average sale price for multiple transactions. The actual sales prices for the transactions ranged from \$280.00 through \$280.20. Full information regarding the number of shares purchased at each separate price will be provided to the Securities and Exchange Commission, the issuer or a security holder of the issuer upon request. This transaction was made pursuant to a 10b5-1 plan entered into on December 16, 2019.

2. The reported sale price reflects the weighted average sale price for multiple transactions. The actual sales prices for the transactions ranged from \$280.00 through \$280.55. Full information regarding the number of shares purchased at each separate price will be provided to the Securities and Exchange Commission, the issuer or a security holder of the issuer upon request. This transaction was made pursuant to a 10b5-1 plan entered into on December 16, 2019.

3. The reported sale price reflects the weighted average sale price for multiple transactions. The actual sales prices for the transactions ranged from \$280.00 through \$280.36. Full information regarding the number of shares purchased at each separate price will be provided to the Securities and Exchange Commission, the issuer or a security holder of the issuer upon request. This transaction was made pursuant to a 10b5-1 plan entered into on December 16, 2019.

4. Represents shares of common stock held under the Becton, Dickinson and Company Savings Incentive Plan (the "SIP"). The information presented for the SIP is as of July 1, 2019.

5. The stock appreciation rights vest in four annual installments beginning November 22, 2012.

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#### Richard Stout, by power of

attorney for Vincent A. Forlenza \*\* Signature of Reporting Person

Date

01/27/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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