SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Hickey David</u>				Name and Ticker of ON DICKIN	0,			ionship of Reporting F all applicable) Director	Person(s) to Issuer 10% O				
(Last) C/O BECTON, D	(First) ICKINSON AN	(Middle)	3. Date o 11/26/20	f Earliest Transaction 021	on (Month/Day/	Year)	х	Officer (give title below) EVP & Preside	Other (below) ont, Life Science				
1 BECTON DRIVE				ndment, Date of Or	iginal Filed (Mo	nth/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)							X	Form filed by One F Form filed by More		na Person			
FRANKLIN LAKES	NJ	07417								5			
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Security (I	nstr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		
Common Stock	11/26/2021		Α		1,473(1)	Α	\$0.0	5,059	D	
Table II. Derivative Securities Acquired Dispaced of an Deneficially Owned										

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Rights to Common Stock Under 1996 Directors Deferral Plan	(2)	11/26/2021		A		1,511		(3)	(3)	Common Stock	1,511	\$245.09	5,366	D	
Stock Appreciation Rights	\$245.09	11/26/2021		A		10,522		11/26/2022 ⁽⁴⁾	11/26/2031	Common Stock	10,522	\$0.0	10,522	D	

Explanation of Responses:

1. Represents restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.

2. The securities convert to common stock on a one-for-one basis.

3. The securities are distributed following termination of the reporting person's service as a director, or on the date or dates specified by the reporting person.

4. The stock appreciation rights vest in four annual installments beginning November 26, 2022.

Remarks:

Richard Stout, by power of attorney for David Hickey ** Signature of Reporting Person

11/30/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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